

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Raymond G. Mather, et al. ) Attorney Docket No.: E-906

Serial No.: 09/475,364 ) Group Art Unit: 2163

Filed: December 30, 1999 ) Date: October 8, 2002

GROUP 3600 For: METHOD AND SYSTEM FOR TRACKING DISPOSITION STATUS OF

AN ITEM TO BE DELIVERED WITHIN AN ORGANIZATION

## **INFORMATION DISCLOSURE STATEMENT**

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

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This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. [ ] 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

- 2. **[XXX]** 37 CFR §1.97(c) This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:
  - 2.1 [ ] Specified Statement under 37 CRF § 1.97(e)(1) see below.
    OR
    2.2 [ ] Specified Statement under 37 CRF § 1.97(e)(2) see below.
    OR
    - 2.3 [xxx] Please charge Deposit Account No. 16-1885 in the amount of \$180.00 to cover the fee set forth in § 1.17(p).
    - \*\* Provisional Fee Authorization. If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. 16-1885 in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

- 3. [ ] <u>37 CFR §1.97(d)</u> This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:
  - 3.1.1[] Specified Statement under 37 CRF § 1.97(e)(1) see below.
  - OR
    3.1.2[ ] Specified Statement under 37 CRF § 1.97(e)(2) see below.
    AND
    - 3.2 [ ] Please charge Deposit Account No. 16-1885 in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

<u>Specified Statement under 37 CRF § 1.97(e)(1)</u> - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

<u>Specified Statement under 37 CRF § 1.97(e)(2)</u> - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the

information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 16-1885.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted, ~

Alberta A. Vitale Reg. No. 41,520 Attorney of Record

Telephone (203) 924-3882

PITNEY BOWES INC. Intellectual Property and Technology Law Department 35 Waterview Drive P.O. Box 3000 Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)

One copy of each of the references

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

On October 8, 2002
Date of Deposit

Alberta A. Vitale

Name of Registered Rep.

Signature

October 8, 2002

Date

FORM PROMINE (Modified)					Atty. Docket Number E-906			Serial No. 09/475,364	
INFORM	ATIO	N DISCLOS	URE STATE	Applicant				170,004	
			Raymond G. Mather, et al.						
BY APPLICANT					Filing Date			Group	
					December 30, 1999			2163	
U.S. PA	TEN	T DOCUME	NTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLA	SS	FILING DATE
	AA	5,631,827	May 1997	Nicholls	s, P., et al.				
	AB	5,656,799	August 1997	Ramsde	en, G., et al.		1		
	AC	5,770,841	June 1998	Moed, M., et al.			<b>&gt;</b>		
	AD	5,831,220	Nov. 1998	Ramsden, G., et al.		1	17/		
	AE	5,869,819	Feb. 1999	Knowles, C., et al.			0		Elli
	AF	5,880,451	March 1999	Smith, 0	C., et al.			67	7. ()
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	AJ AK N PA AL AM AN AO AP	DOCUMENT NUMBER	DATE	COUNTR OR PATE	RY ENT OFFICE	CLASS	SUBCLA	ss	TRANSLATION
	AJ AK N PA AL AM AN AO AP	DOCUMENT NUMBER	DATE	COUNTR OR PATE	RY ENT OFFICE	CLASS	SUBCLA	ss	TRANSLATION

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.